

# Illusion and Dazzle: Adversarial Optical Channel Exploits against Lidars for Automotive Applications

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CHES'17

System Security Lab @ Korea Advanced Institute of Science and Technology

Presenter: Sanggu Han

Most slides are borrowed from author's slide

# Transition toward Autonomous Cars

**Alphabet's self-driving car company Waymo announces \$2.5 billion investment round**



**Autonomous vehicle delivery firm Nuro adds \$600MM investment**

November 2, 2021 - General News

PUBLISHED WED, JUN 16 2021-8:30 AM EDT | UPDATED WED, JUN 16 2021-10:11 AM EDT



**Autonomous driving startup Momenta raises another \$500M**



**Self-driving truck startup Kodiak Robotics raises \$125M**

Kirsten Korosec @kirstenkorosec / 10

**Oxbotica raises \$13.8M from Ocado to build autonomous vehicle tech for the online grocer's logistics network**



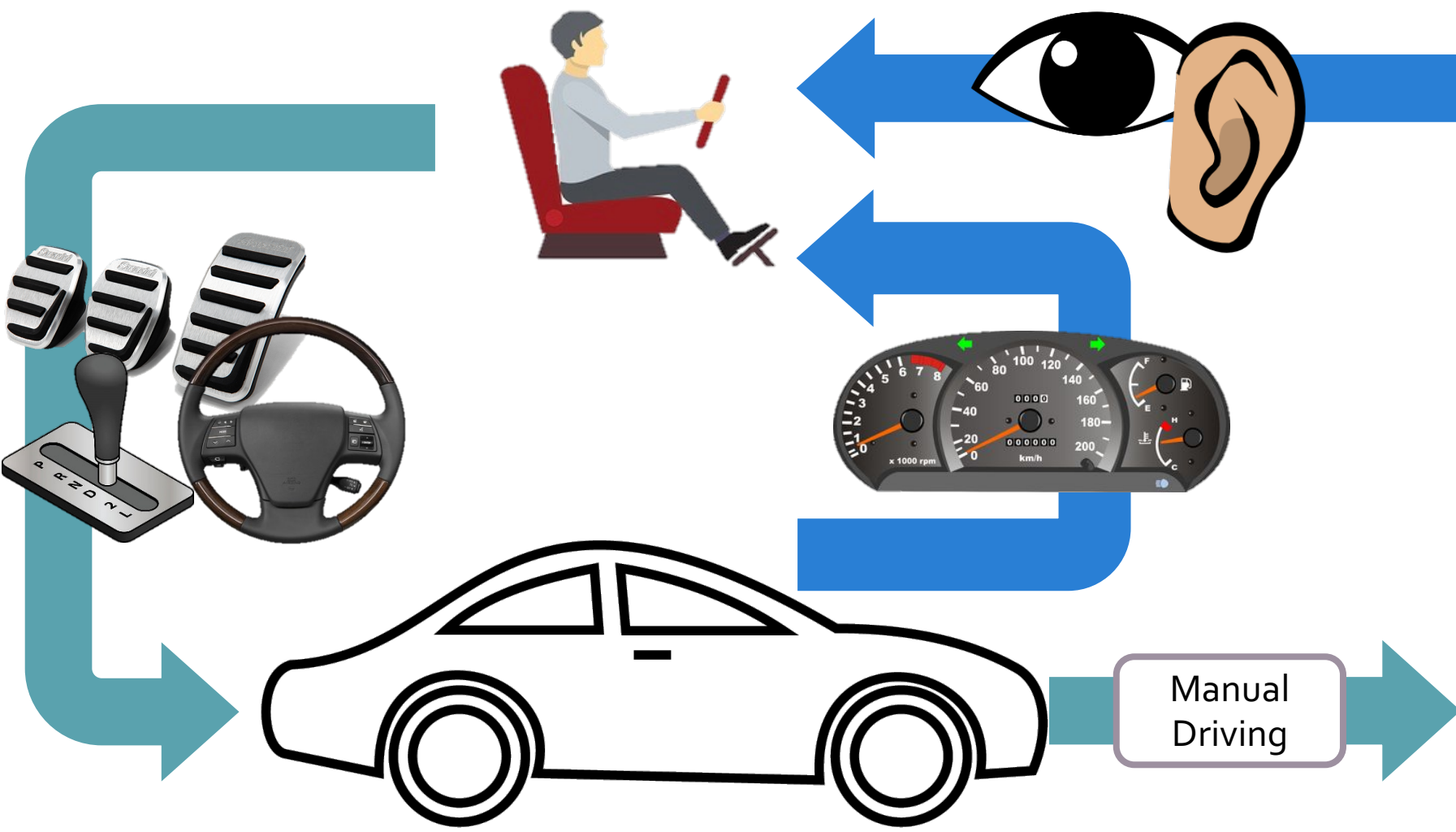
Ingrid Lunden @ingridlunden / 3:37 PM GMT+9 • April 16, 2021

TESLA TOYOTA

Comment

and many others....

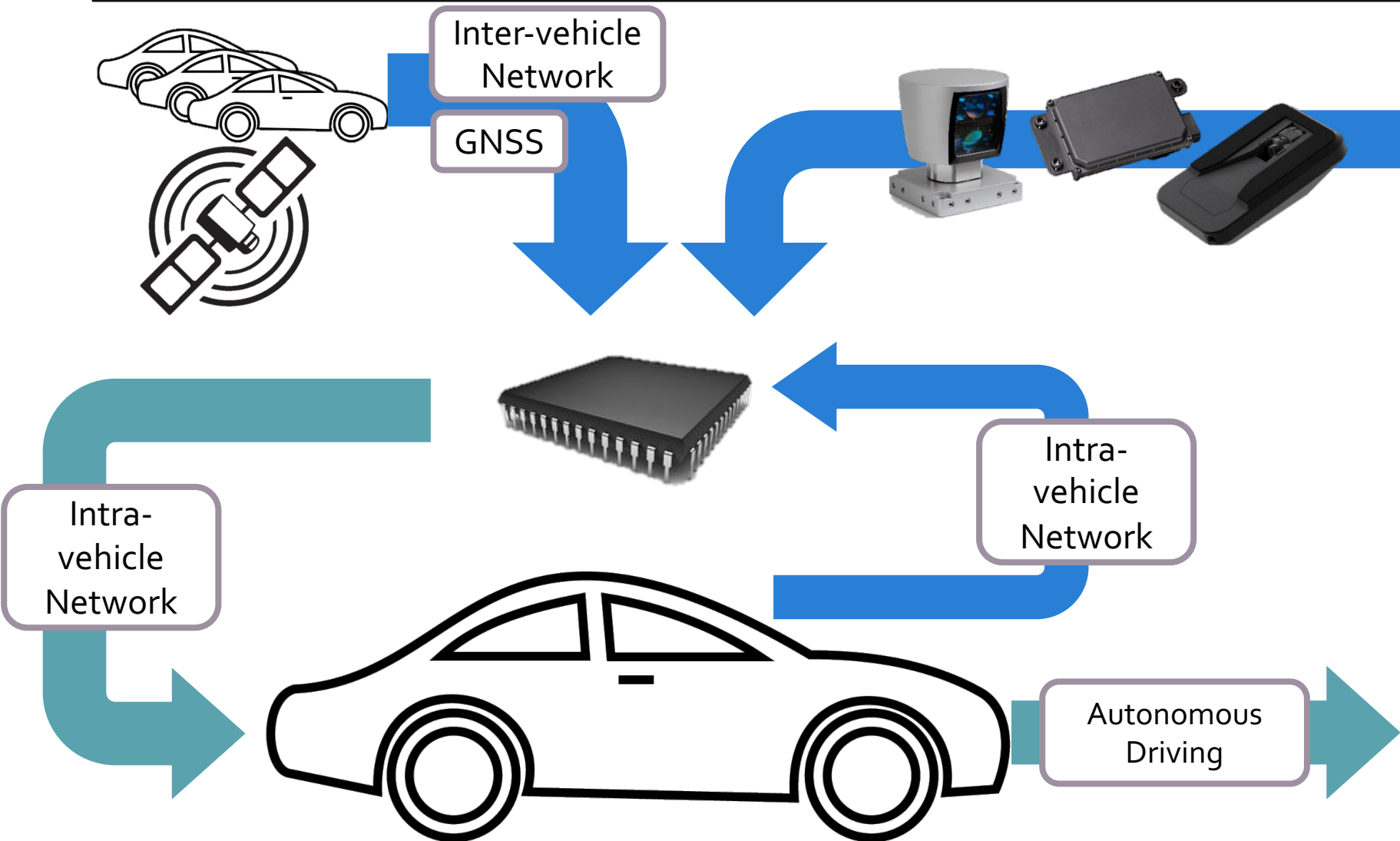
# Human Driving



## Surroundings



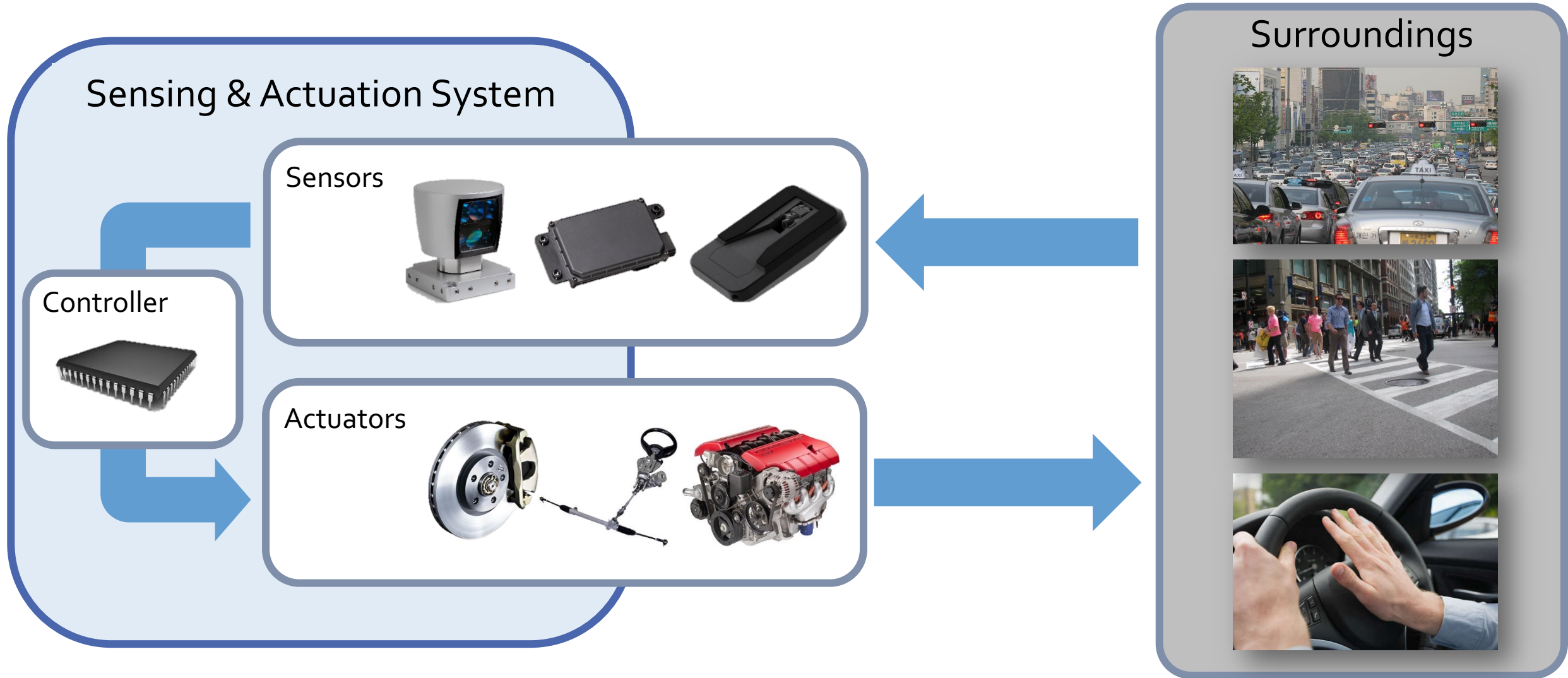
# Autonomous Driving: a Sensing & Actuation System



## Surroundings



# Sensor Attacks against Sensing & Actuation Systems



# Sensor Attacks against Sensing & Actuation Systems

W/O Security Features

Actuation System

Sensors



Actuators



Controller



Surroundings



# Introduction

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- LiDAR: Light Detection and Ranging
- How attack can launch
  - Saturation attack / Spoofing by relaying attack
- Countermeasures and its limitations

# Lidar

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- ◆ **Light Detection and Ranging**
  - cf) **Radio Detection and Ranging** → radar



# Lidar

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## ◆ Light Detection and Ranging

- cf) Radio Detection and Ranging → radar

## ◆ Lots of strengths

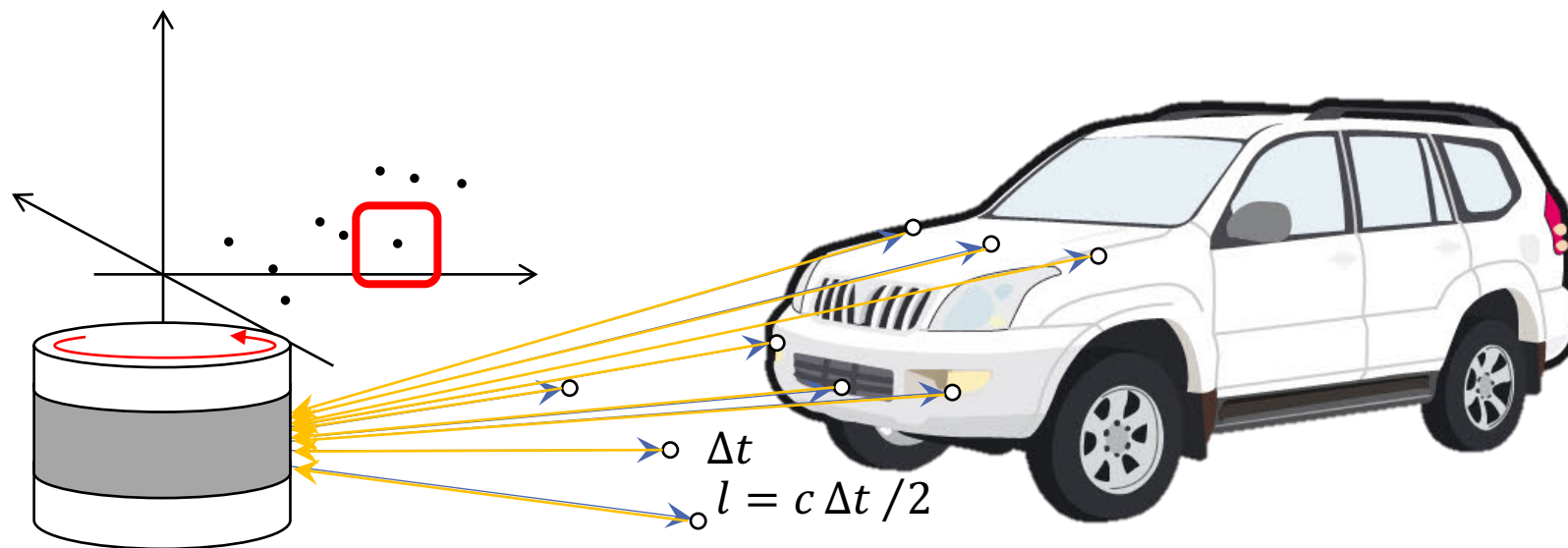
- Source of highest spatial resolution
  - Much better than radars / ultrasonic sensors
  - ∴ Superior directivity of laser beam
- Highly autonomous platforms tend to have lidars



# Lidar

## ◆ Working principle

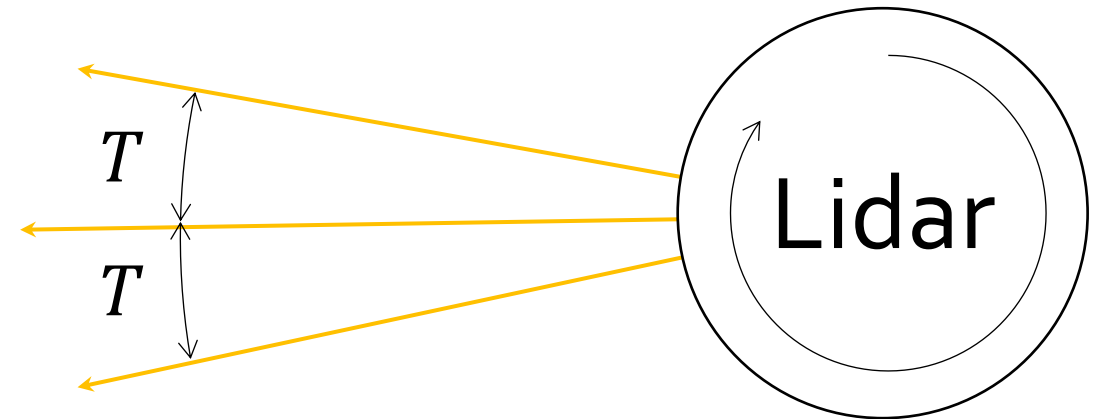
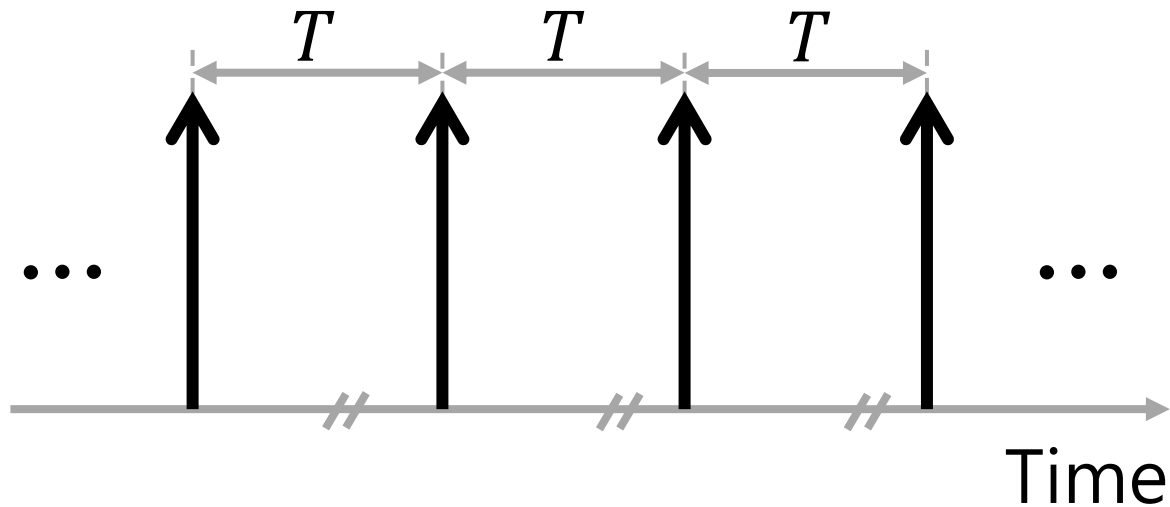
- Similar to radars / sonars / ultrasonic sensors except media
- Multi-layer scanning lidar  $\triangleq$  rotating 3D-mapping lidar



# Lidar

## ◆ Important parameters

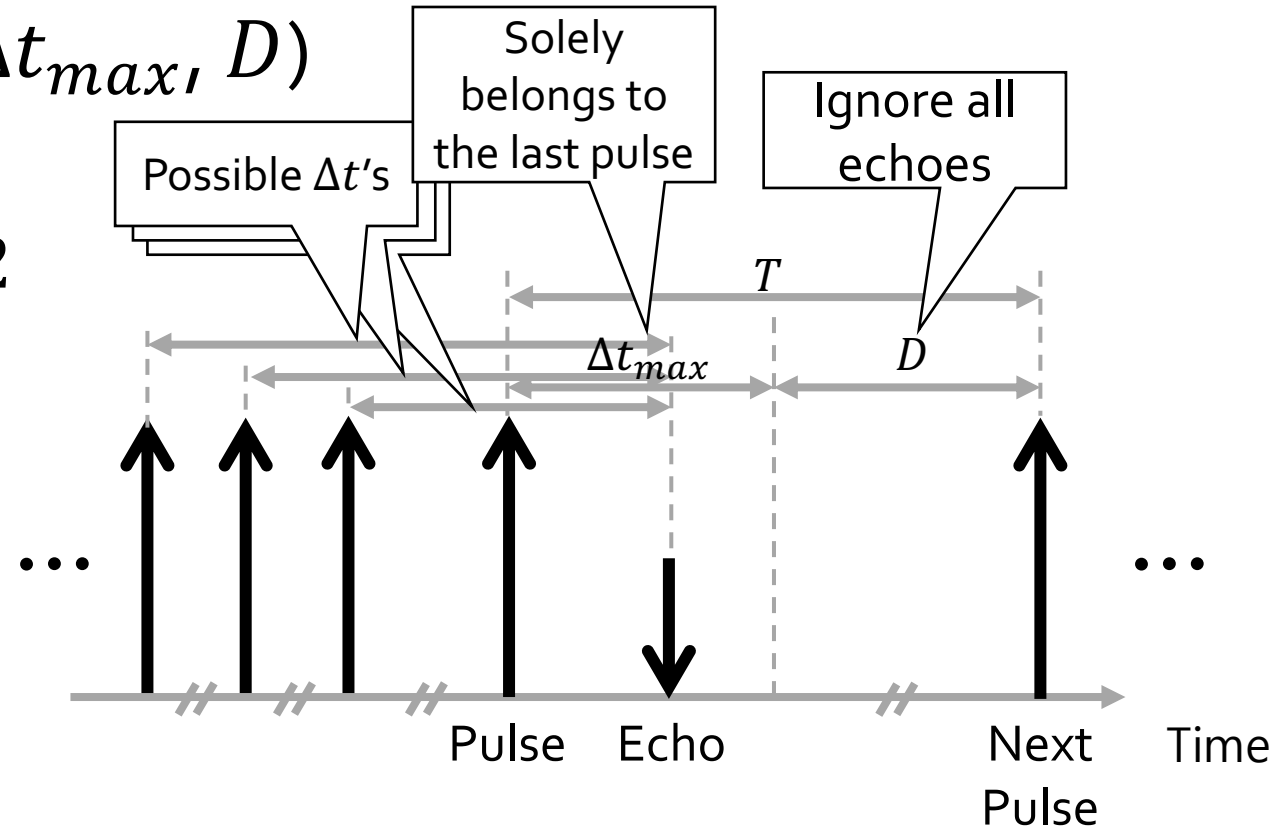
- Pulse repetition time (PRT,  $T$ )



# Lidar

## ◆ Important parameters

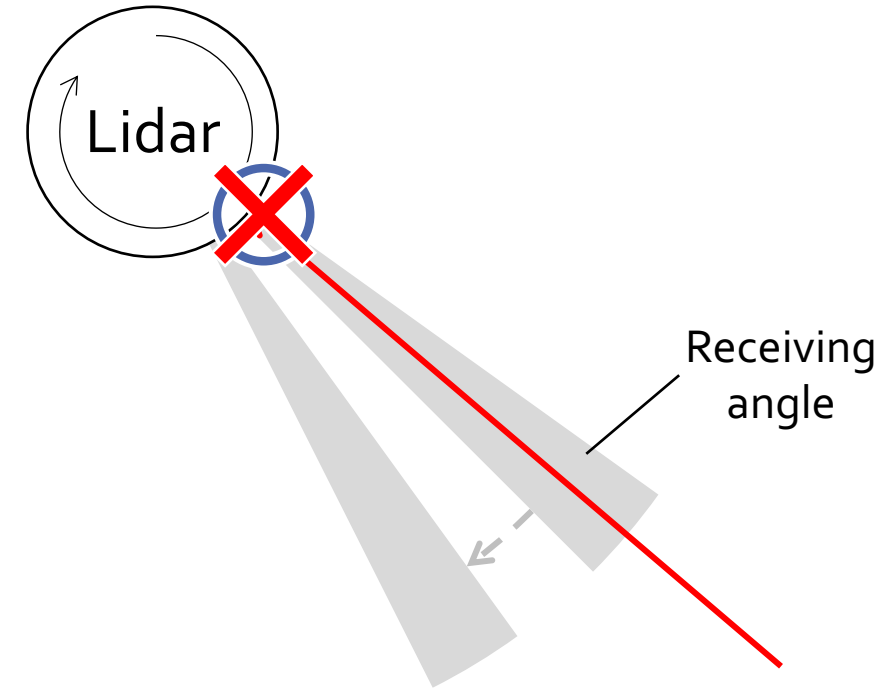
- Pulse repetition time (PRT,  $T$ )
- Receiving time / dead time ( $\Delta t_{max}$ ,  $D$ )
  - To limit the ambiguity
  - Lidar range  $l_{max} \rightarrow c\Delta t_{max}/2$



# Lidar

## ◆ Important parameters

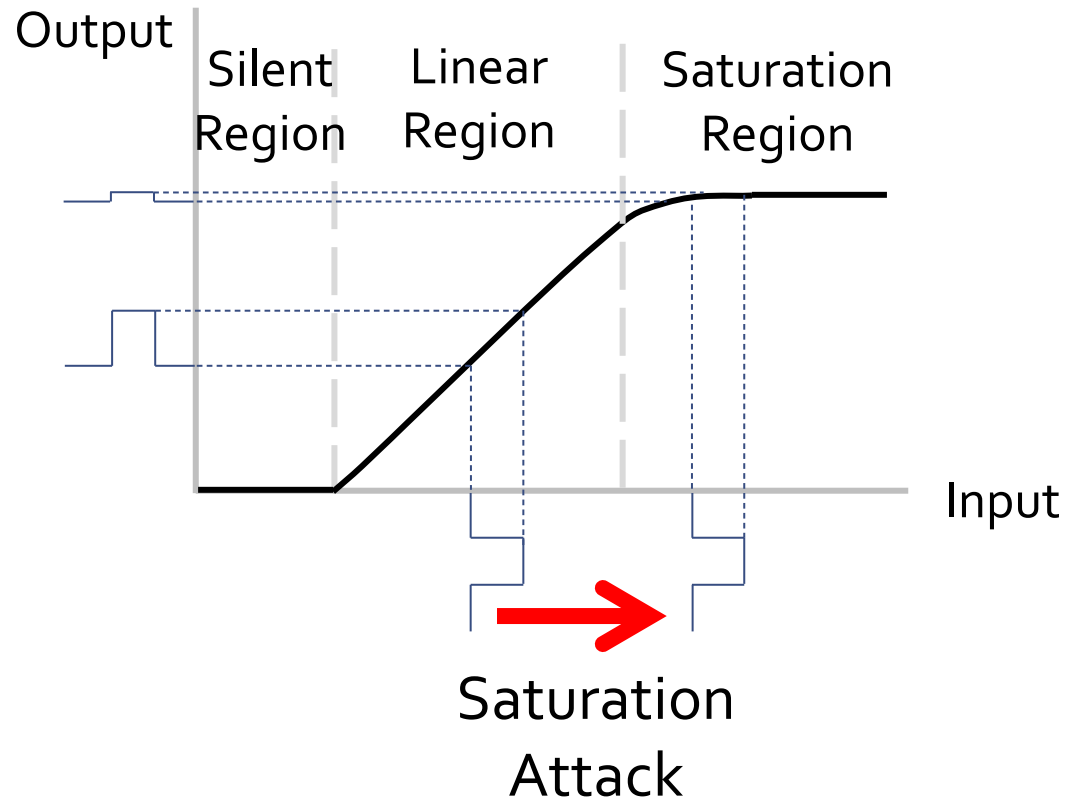
- Pulse repetition time (PRT,  $T$ )
- Receiving time / dead time ( $\Delta t_{max}$ ,  $D$ )
  - To limit the ambiguity
  - Lidar range  $l_{max} \rightarrow c\Delta t_{max}/2$
- Receiving angle
  - Angle of receiver aperture
  - If precisely calibrated, covering up to the farthest point is enough



# Sensor Attacks

## Sensor Saturating

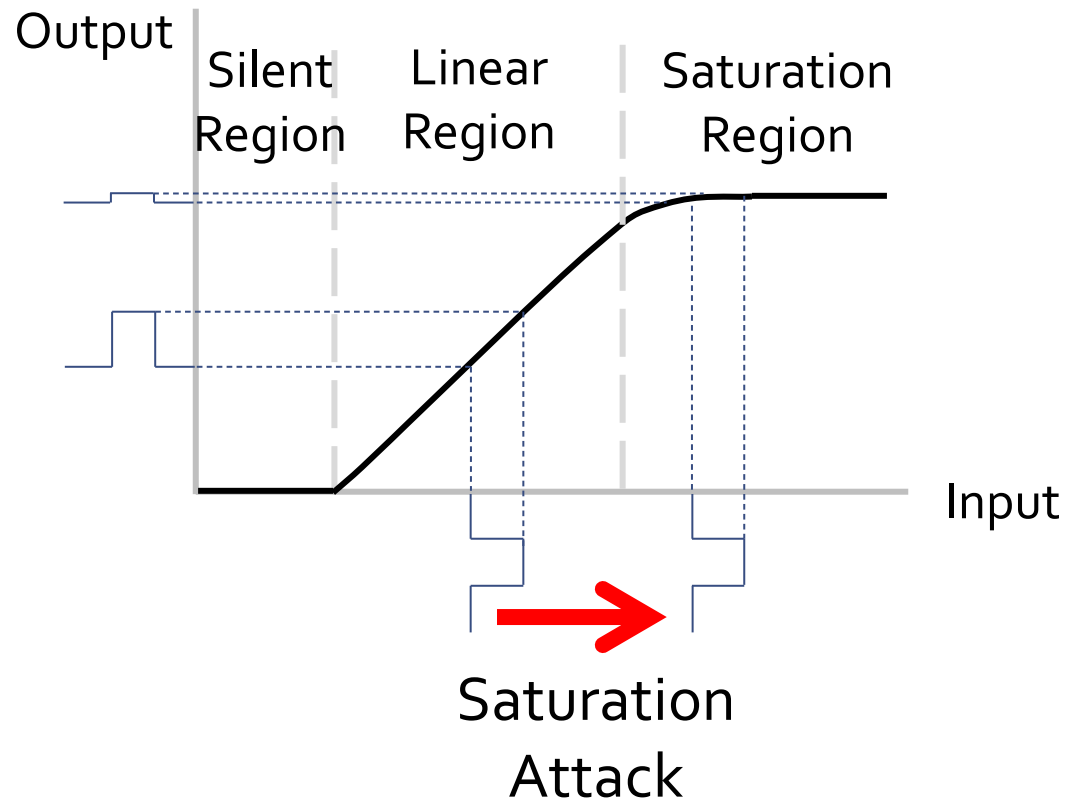
- ◆ Exploiting the transition curve



# Sensor Attacks

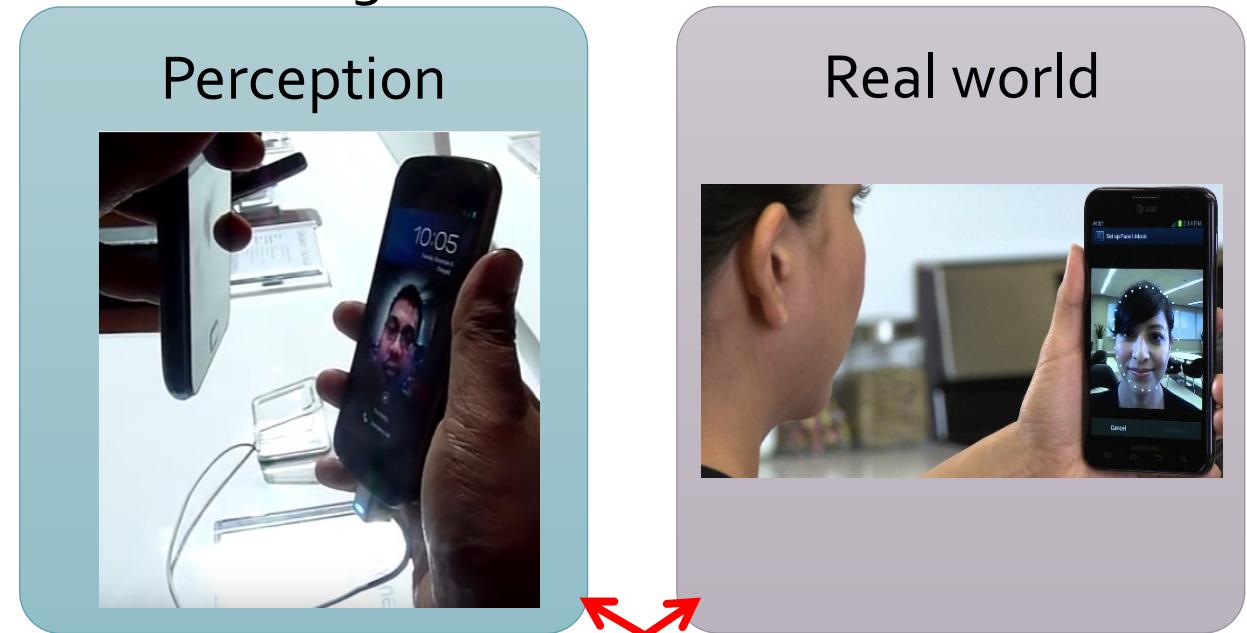
## Sensor Saturating

◆ Exploiting the transition curve



## Sensor Spoofing

◆ Deceiving sensors

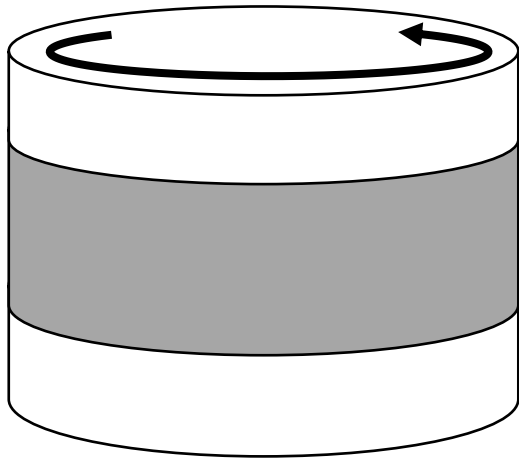


- Lidar spoofing: make a lidar see an object that does not exist in fact

# Target System

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A scanning lidar system



Exposed to the exterior





# Target System

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## ◆ Velodyne's VLP-16

- Outputs via Ethernet in UDP packets → Used VeloView to visualize sensing outputs

Price	US\$7,999
Laser wavelength	903nm
# of vertical layers	16
Update rate	5/10/20Hz (configurable)
Range	100m
Field Of View	360° (hor.), -15° ~15° (ver.)
Angular Resolution	0.1/0.2/0.4° (hor., depends on update rate), 2° (ver.)



# Attack Model

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## ◆ Saturating

- Can inject light → includes aim & focus capability
- Attacking light with the same wavelength as the target
- Attacking light strong enough to saturate

# Attack Model

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## ◆ Spoofing

- Injection capability
- Can receive pinging pulses from the target lidar
- For inducing closer objects
  - Consistent / predictable ping waveform and PRT
  - Virtually, all COTS automotive lidars meet this

# Saturation Attack

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- ◆ Illuminating the target lidar with intense light source
  - Saturation → unable to sense incoming echoes → blinding
  - Strong attack because saturation itself is unavoidable
  - Detection is easy, but no COTS lidar has this function

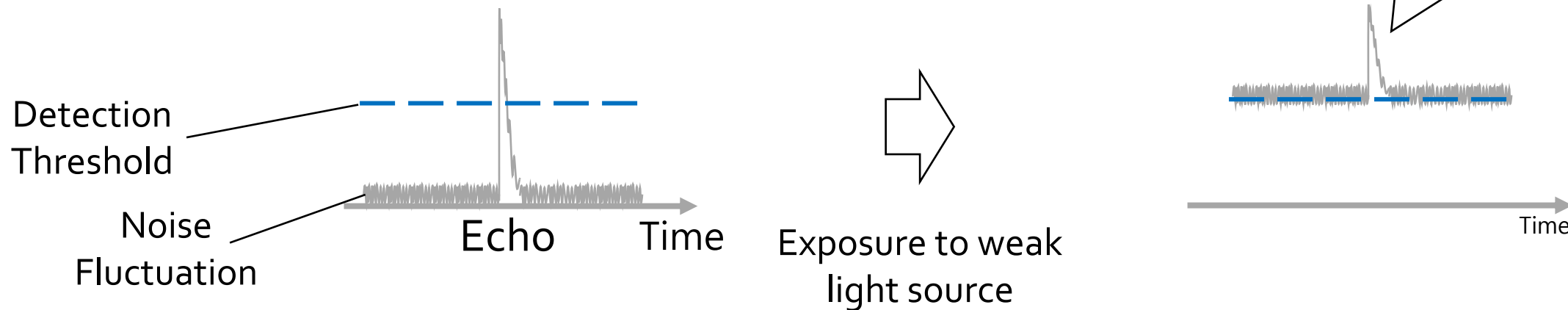
# Saturation Attack

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## ◆ Effect and cause speculation

- Weak light injection → numerous fake dots



# Lidar Exposure to Weak Light Source

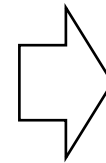
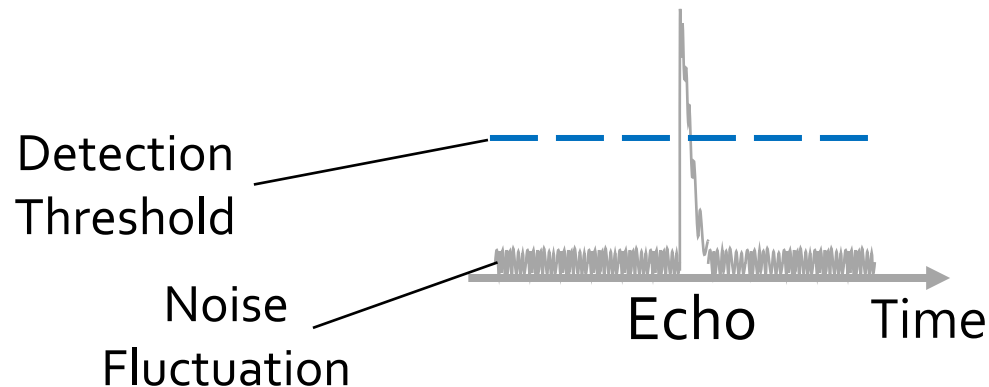
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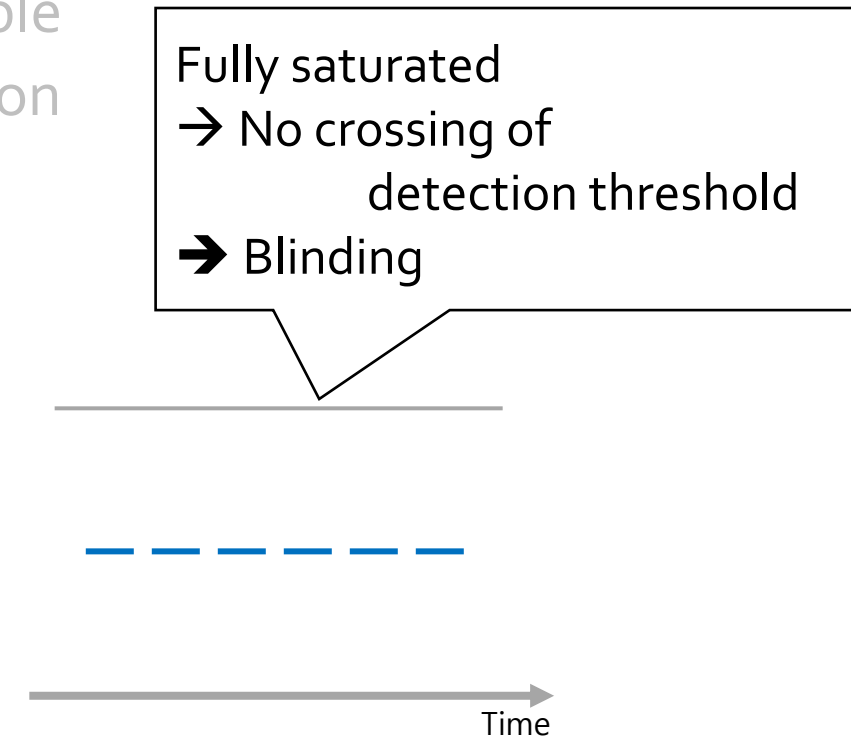
- Saturation → unable to sense incoming echoes → blinding
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## ◆ Effect and cause speculation

- Weak light injection → numerous fake dots
- Strong light injection → blinding of a section



Exposure to Strong light source

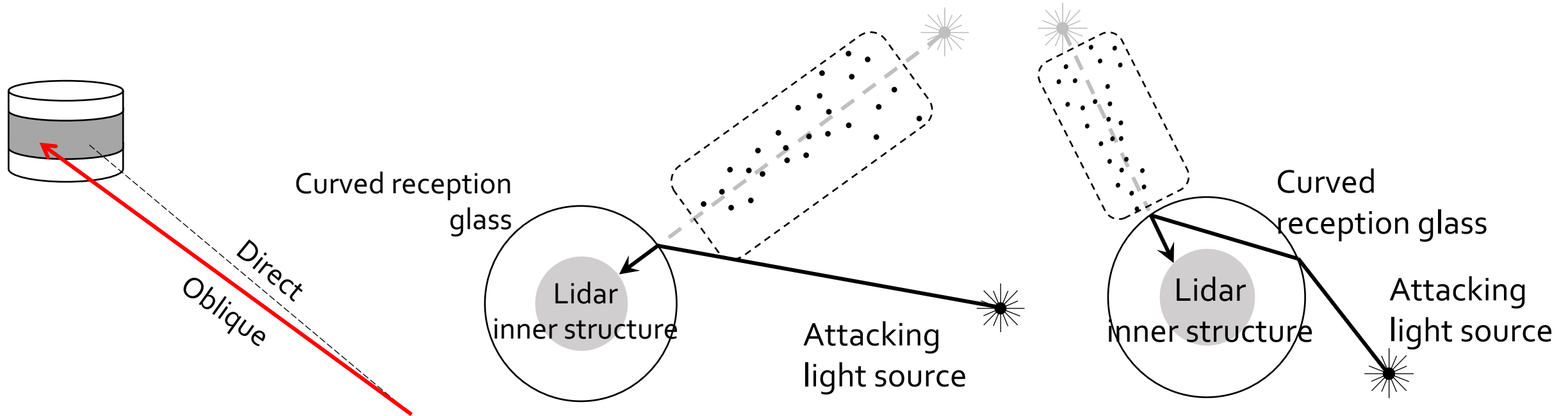


# Lidar Exposure to Strong Light Source



# Saturation Attack

- ◆ Adverse effect of curved reception glass
  - Obliquely illuminating VLP-16
    - ➔ fake dots not in the direction of the attacking light



# Saturation Attack

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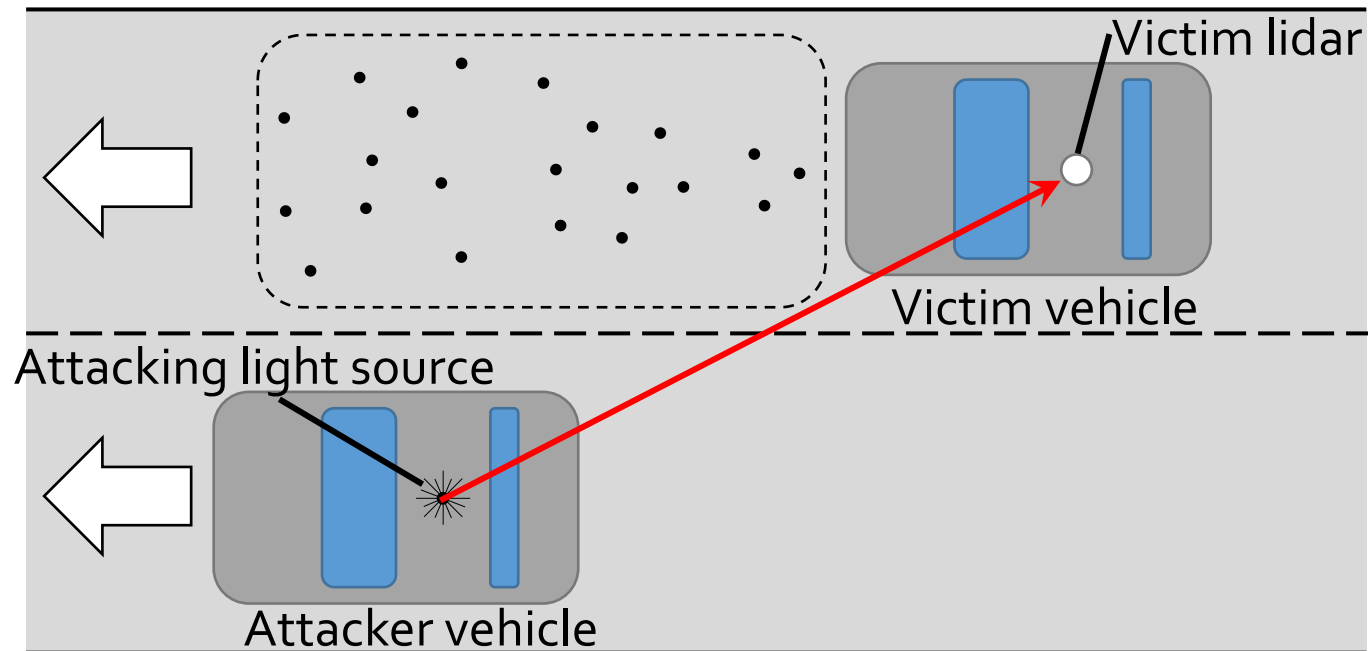
- ◆ Adverse effect of curved reception glass
  - Obliquely illuminating VLP-16
    - fake dots not in the direction of the attacking light
  - Other lidars also have curved glass (e.g. HDL-32E, LUX mini, M8)



# Saturation Attack

## ◆ Adverse effect of curved reception glass

- Obliquely illuminating VLP-16
  - fake dots not in the direction of the attacking light
- Other lidars also have curved glass (e.g. HDL-32E, LUX mini, M8)



# Lidar Obliquely Exposed to Strong Light Source

# Saturation Attack

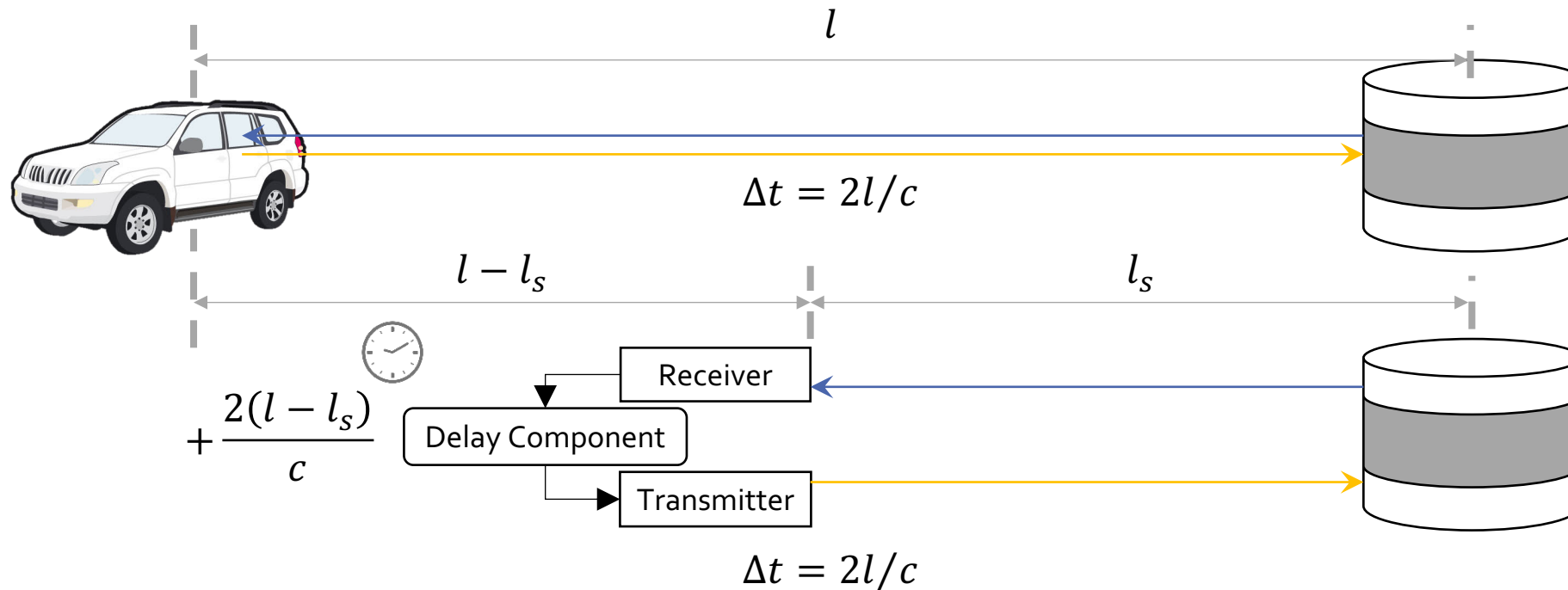
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- ◆ Stealthy against human since IR laser is invisible,
- ◆ For relatively weak light source,
  - They can induce numerous fake dots
- ◆ For relatively strong light source,
  - They can make LiDAR completely blind
- ◆ By the design of lidar (curved reception glass),
  - They can induce fake dots in direction other than source

# Spoofing by Relaying Attack - Ideal Attack Process

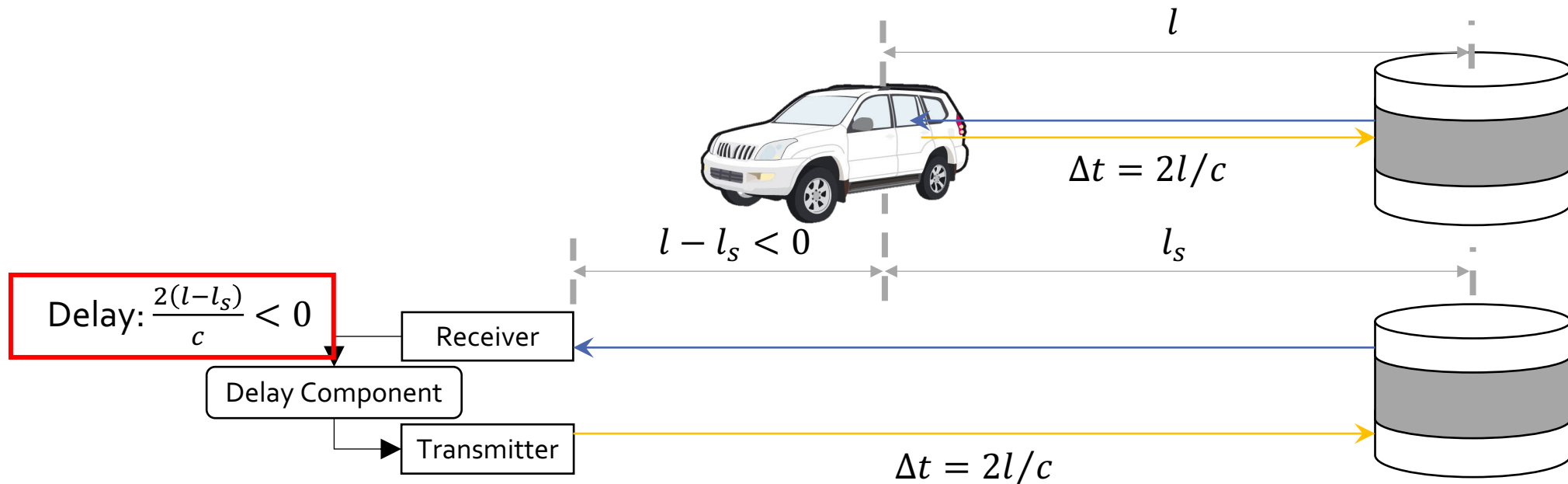
## ◆ Inducing a farther fake dot

- Spoofed fake dots are less important than the spoofer itself



# Spoofing by Relaying Attack - Ideal Attack Process

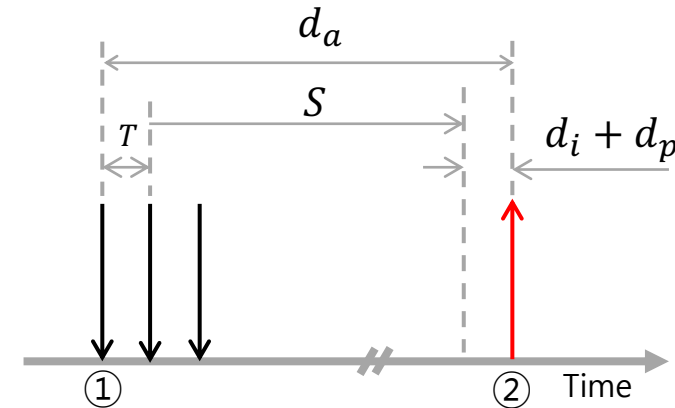
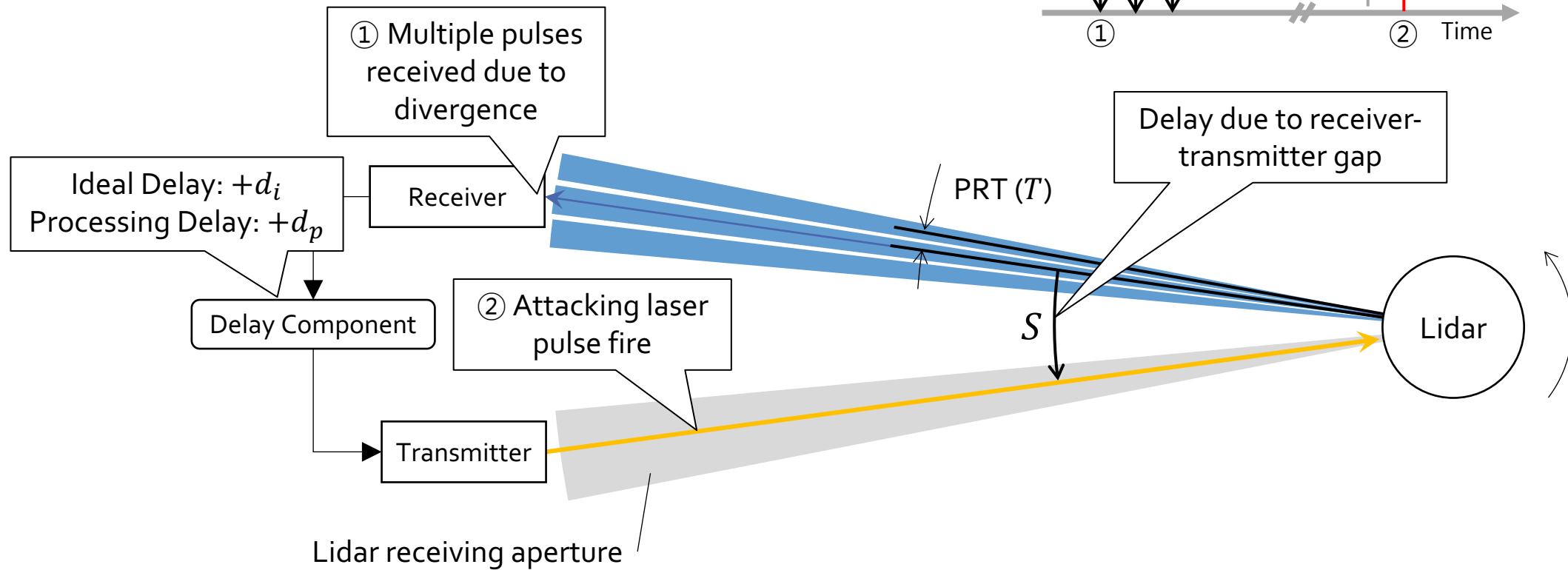
- ◆ Why we cannot induce closer fake dots with this model
  - Negative delay required  $\rightarrow$  impossible



# Spoofing by Relaying Attack - Actual Attack Process

## ◆ Difference from the ideal case

- Laser beam diverges
- Receiver-transmitter gap

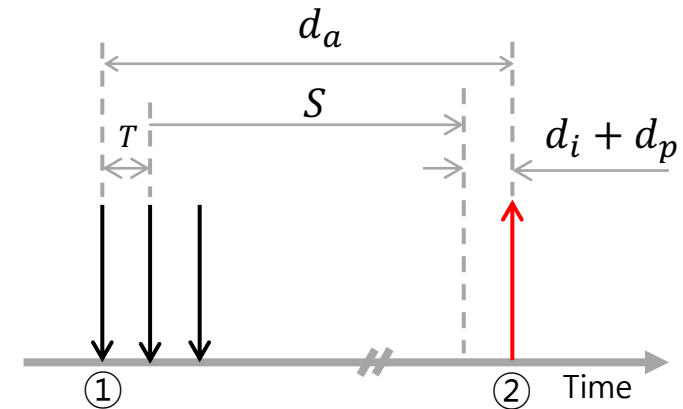




# Spoofing by Relaying Attack - Actual Attack Process

## ◆ Difference from the ideal case

- Laser beam diverges
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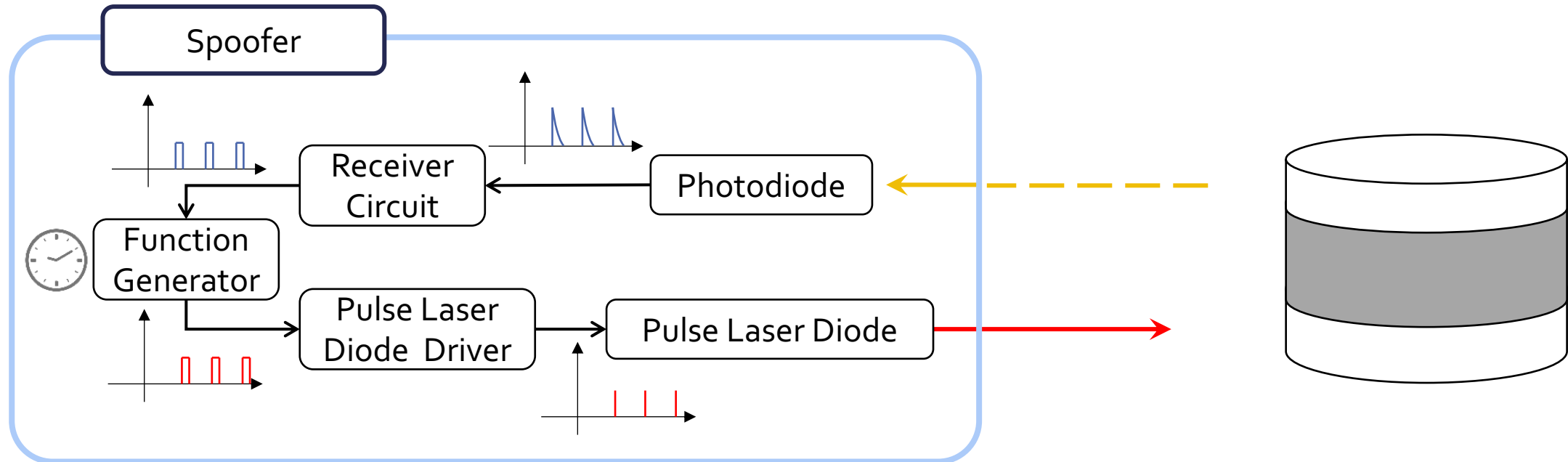


## ◆ Why this makes closer fake dots possible

- $d_a(\text{total delay}) = d_i + nT + S + d_p$
- Even if  $d_i(\text{ideal delay}) < 0$  for closer fake dots,  $d_a > 0$  ( $\because T, S \gg |d_i|$ )

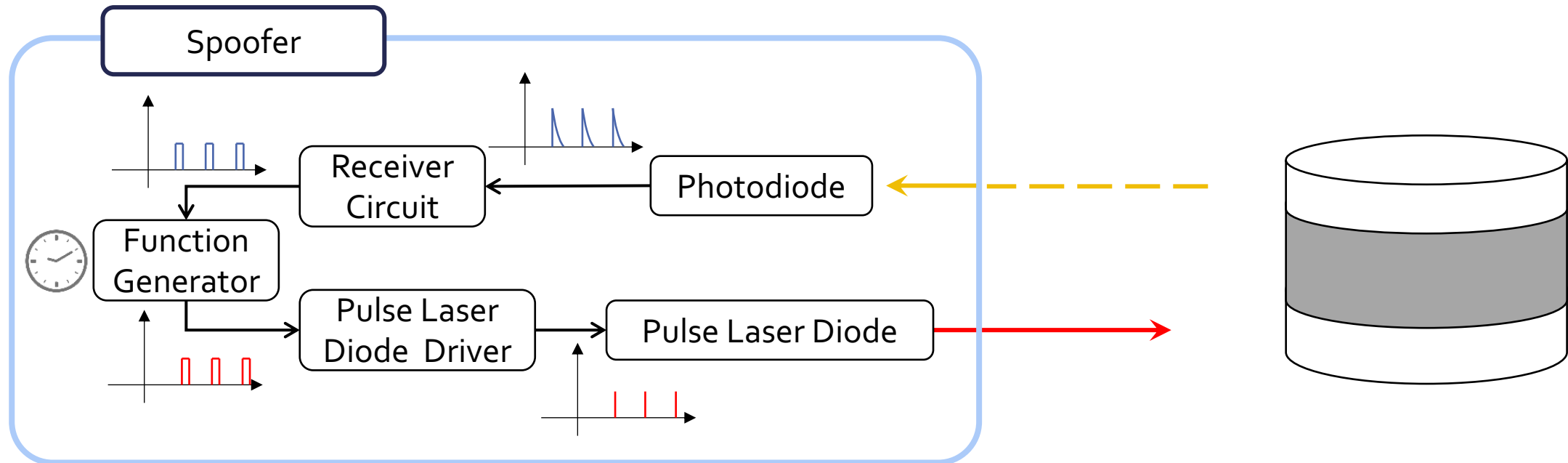
# Spoofing by Relaying Attack - Experimental Setup

◆ Lidar → PD → Receiver Circuit → Function Generator → PLD driver → PLD



# Spoofing by Relaying Attack - Experimental Setup

◆ Lidar → PD → Receiver Circuit → Function Generator → PLD driver → PLD



## ◆ Inducing closer fake dots

1. Induce farther dots
2. Reduce the delay in function generator
3. Observe closer dots

# Lidar Spoofing of Multiple Moving Fake Dots

# Lidar Spoofing of Fake Dots Closer Than Spoofer

# Spoofing by Relaying Attack

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- ◆ Stealthy against human since IR laser is invisible
- ◆ Inducing a farther fake dot
  - Mimic the process
  - Fire laser pulse after positive delay
- ◆ Inducing a closer fake dot
  - By the characteristic of lidar, making negative delay is possible

# Discussion

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- ◆ Receiving angle larger than needed
  - Actual receiving angle  $\gg$  Minimum required receiving
  - VLP-16: minimum ( $0.0048^\circ$ ) vs. actual ( $2^\circ$ )
  - Much wider region affected by the attack

# Possible Countermeasures and limitations

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## ◆ Saturation

- Minimizing receiving angle → reduce the size of affected region
- Detection is easy
  - Multiple sensors + program to abandon compromised sensor output
  - Alarm, then going into fail-safe mode
- However, cannot be prevented → none of above is an ultimate solution



# Possible Countermeasures and limitations

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## ◆ Saturation

- Minimizing receiving angle → reduce the size of affected region
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  - Multiple sensors + program to abandon compromised sensor output
  - Alarm, then going into fail-safe mode
- However, cannot be prevented → none of above is an ultimate solution

## ◆ Spoofing

- Minimizing receiving angle
- Adding slight random perturbation to PRTs
  - ∴ Random probing is hard to be adopted for current rotating lidars
- However, induction of single, farther fake dot per spoofer is still possible

# Conclusion

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- Two types of attack against LiDAR; Saturation, Spoofing
- Saturation
  - Make numerous fake dots using weak light source
  - Make LiDAR completely blind using strong light source
  - Make fake dots in direction other than that of the source
- Spoofing
  - Induce closer fake dots
- Defensive measures exists, but not enough to fully trust LiDAR

# Related works

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Petit, Jonathan, et al. "Remote attacks on automated vehicles sensors: Experiments on camera and lidar." Black Hat Europe 11.2015 (2015): 995.

- Attack camera-based system and LiDAR
- They successfully blinded camera by emitting light into the camera
- Also, they showed relaying, and spoofing attack against LiDAR

# Related works

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Yan, Chen, Wenyuan Xu, and Jianhao Liu. "**Can you trust autonomous vehicles: Contactless attacks against sensors of self-driving vehicle.**" Def Con 24.8 (2016): 109.

- Attack the 'eye' of autonomous vehicles; MMR radar, ultrasonic sensor, cameras
- They showed jamming, spoofing attack on those sensors in Tesla model S

# Follow-up works

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Sun, Jiachen, et al. "Towards robust lidar-based perception in autonomous driving: General black-box adversarial sensor attack and countermeasures." USENIX Security'20.

- Explore vulnerabilities of LiDAR-based perception layer
- With those vulnerability, they constructed black-box spoofing attack
- Suggested defense model CARLO, which detects spoofed data
- Connected sensor spoofing attack to AI model (perception layer)

# Questions

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Joonha Jang

Q. Tesla announced that it would use "pure vision," which uses only cameras for self-driving cars.

Is 'Pure Vision' really safe?

A. No, even simple backlight can make poor vision.

# Questions

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Hannah Kim

Q. Has any lidar with random perturbation in PRT come out?

A. Currently no one has implemented

Thank you for listening



# Image sources

## Slide 2

### CNBC

- <https://www.cnbc.com/2021/06/16/alphabets-waymo-raises-2point5-billion-in-new-investment-round.html>

### TechCrunch

- <https://techcrunch.com/2021/11/10/self-driving-truck-startup-kodiak-robotics-raises-125m/>
- <https://techcrunch.com/2021/11/05/momenta-500-million/>
- <https://techcrunch.com/2021/04/15/oxbotica-raises-13-8m-from-ocado-to-build-autonomous-vehicle-tech-for-the-online-grocers-logistics-network/>

### BlueBookServices

- <https://www.producebluebook.com/2021/11/02/autonomous-vehicle-delivery-firm-nuro-adds-600mm-investment/#>

## Slide 11

### Electrek

- <https://electrek.co/2021/11/11/tesla-tsla-confirms-elon-musk-sold-roughly-5-billion-worth-stock-keep-going/>