

**IS511**

**Introduction to  
Information Security**

**Lecture 3**

**Cryptography 2**

Yongdae Kim



# Recap

- ❁ <http://syssec.kaist.ac.kr/~yongdaek/courses/is511/>
- ❁ E-mail policy
  - ▶ Include [is511]
  - ▶ Profs + TA: [IS511\\_prof@gsis.kaist.ac.kr](mailto:IS511_prof@gsis.kaist.ac.kr)
  - ▶ Profs + TA + Students: [IS511\\_student@gsis.kaist.ac.kr](mailto:IS511_student@gsis.kaist.ac.kr)
- ❁ Text only posting, email!
- ❁ Preproposal
- ❁ Proposal: English only

# Hash function and MAC

❁ A hash function is a function  $h$

- ▶ compression

- ▶ ease of computation

- ▶ Properties

  - ❁ one-way: for a given  $y$ , find  $x'$  such that  $h(x') = y$

  - ❁ collision resistance: find  $x$  and  $x'$  such that  $h(x) = h(x')$

- ▶ Examples: SHA-1, MD-5

❁ MAC (message authentication codes)

- ▶ both authentication and integrity

- ▶ MAC is a family of functions  $h_k$

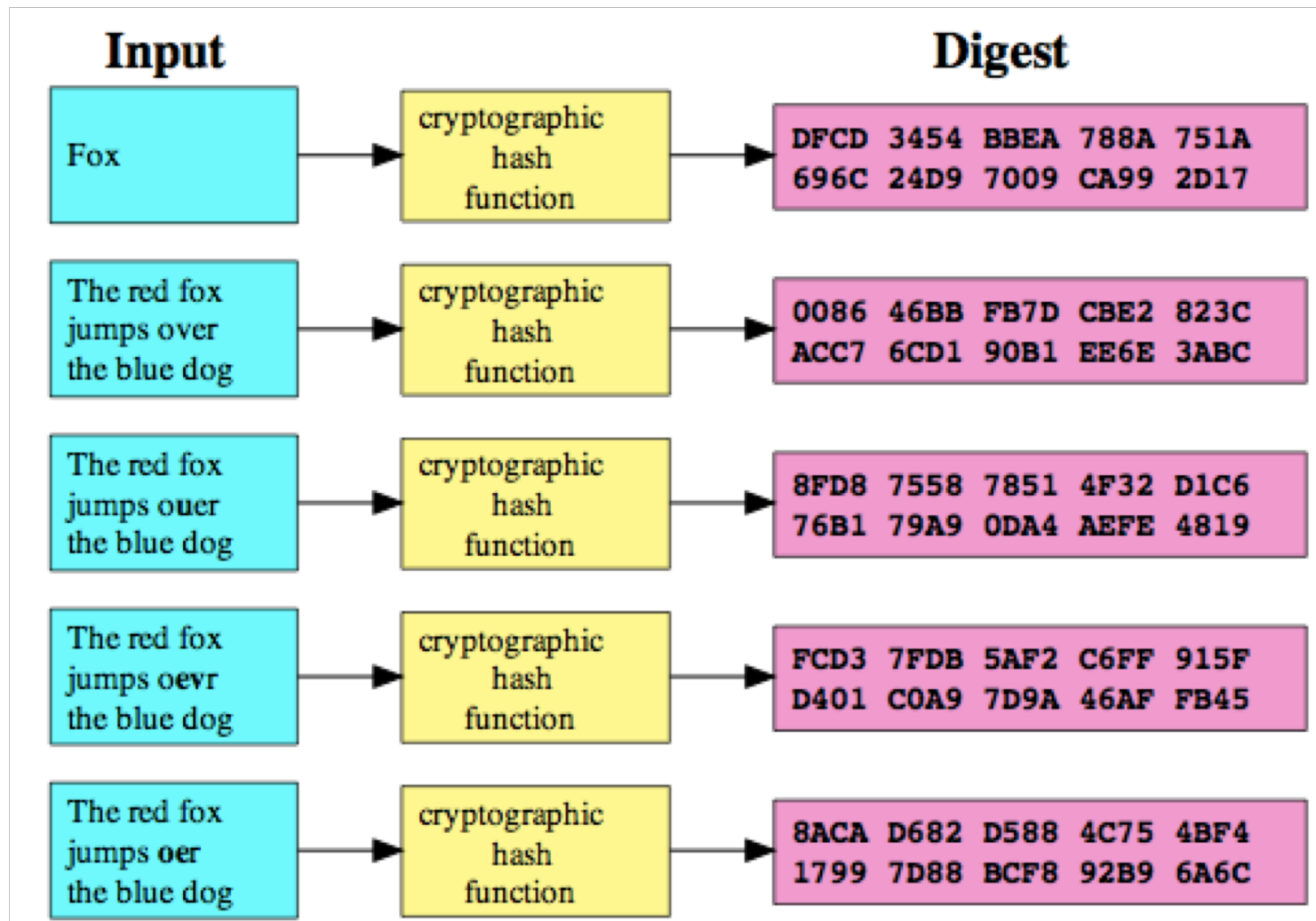
  - ❁ ease of computation (if  $k$  is known !!)

  - ❁ compression,  $x$  is of arbitrary length,  $h_k(x)$  has fixed length

  - ❁ computation resistance

- ▶ Example: HMAC

# How Random is the Hash function?





# Applications of Hash Function

## ❁ File integrity



## ❁ Digital signature

$$\text{Sign} = S_{SK}(h(m))$$

## ❁ Password verification

stored hash =  $h(\text{password})$

## ❁ File identifier

## ❁ Hash table

## ❁ Generating random numbers

# Hash function and MAC

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- ▶ Properties

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❁ MAC (message authentication codes)

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- ▶ MAC is a family of functions  $h_k$

  - ⌘ ease of computation (if  $k$  is known !!)

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  - ⌘ computation resistance

- ▶ Example: HMAC

# MAC construction from Hash

## \* Prefix

- ▶  $M = h(k || x)$
- ▶ appending  $y$  and deducing  $h(k || x || y)$  from  $h(k || x)$  without knowing  $k$

## \* Suffix

- ▶  $M = h(x || k)$
- ▶ possible a birthday attack, an adversary that can choose  $x$  can construct  $x'$  for which  $h(x) = h(x')$  in  $O(2^{n/2})$

## \* STATE OF THE ART: HMAC (RFC 2104)

- ▶  $HMAC(x) = h(k || p_1 || h(k || p_2 || x))$ ,  $p_1$  and  $p_2$  are padding
- ▶ The outer hash operates on an input of two blocks
- ▶ Provably secure

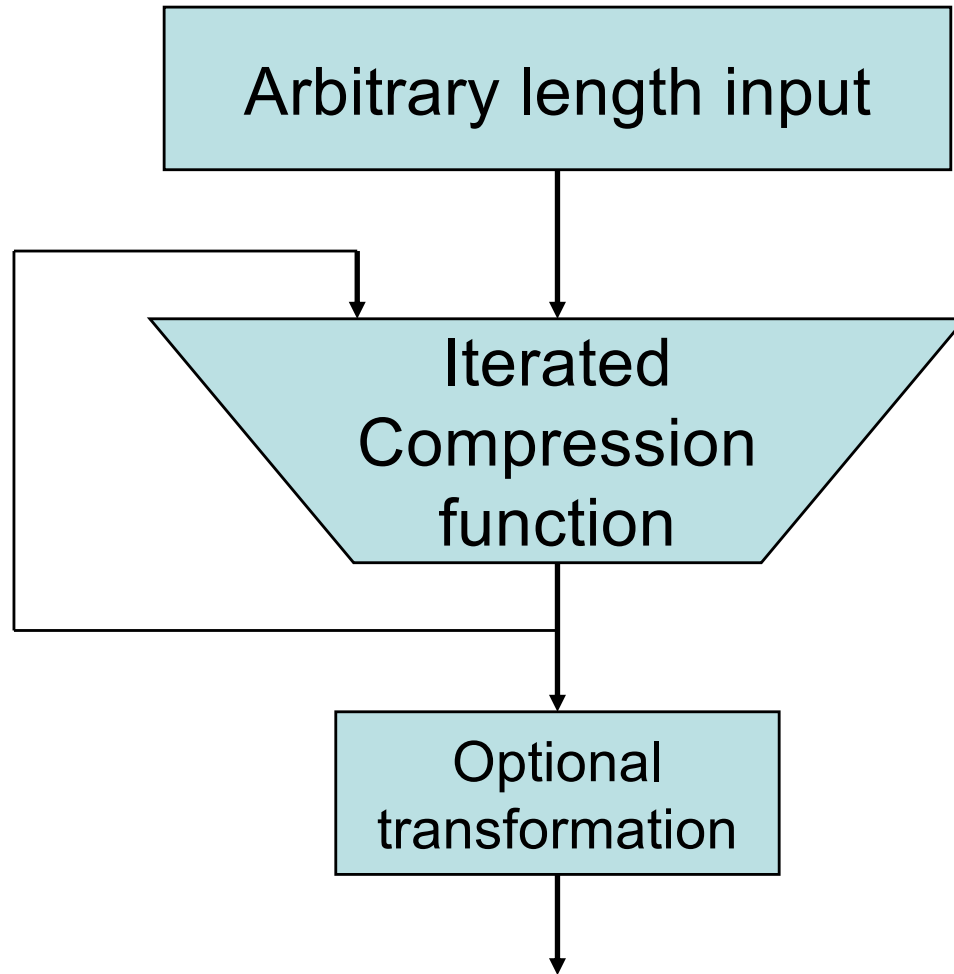
# How to use MAC?

- ✿ A & B share a secret key  $k$
- ✿ A sends the message  $x$  and the MAC  $M \leftarrow H_k(x)$
- ✿ B receives  $x$  and  $M$  from A
- ✿ B computes  $H_k(x)$  with received  $M$
- ✿ B checks if  $M = H_k(x)$

# How to design a hash function

- ✿ Phase 1: Design a 'compression function'
  - ▶ Which compresses only a single block of fixed size to a previous state variable
- ✿ Phase 2: 'Combine' the action of the compression function to process messages of arbitrary lengths
- ✿ Similar to the case of encryption schemes

# General Model



MDC  $h$  with compression function  $f$ :

$$H_0=IV, H_i=f(H_{i-1}, x_i), h(x)= H_t$$

# Basic properties

✿ *preimage resistance = one-way*

- ▶ it is computationally infeasible to find any input which hashes to that output
- ▶ for a given  $y$ , find  $x'$  such that  $h(x') = y$

✿ *2nd-preimage resistance = weak collision resistance*

- ▶ it is computationally infeasible to find any second input which has the same output as any specified input
- ▶ for a given  $x$ , find  $x'$  such that  $h(x') = h(x)$

✿ *collision resistance = strong collision resistance*

- ▶ it is computationally infeasible to find any two distinct inputs  $x, x'$  which hash to the same output
- ▶ find  $x$  and  $x'$  such that  $h(x) = h(x')$ .

# Relation between properties

✿ Collision resistance  $\Rightarrow$  Weak collision resistance ?

▶ Yes! Why?

✿ Collision resistance  $\Rightarrow$  One-way ?

▶ No! Why?

▶ Let  $g$  collision resistant hash function,  $g: \{0,1\}^* \rightarrow \{0,1\}^n$

▶ Consider the function  $h$  defined as

$h(x) = 1 \parallel x$  if  $x$  has bit length  $n$

$= 0 \parallel g(x)$  otherwise

$h: \{0,1\}^* \rightarrow \{0,1\}^{n+1}$

▶  $h(x)$  : collision and pre-image resistant (unique), but not one-way



# Birthday Paradox (I)



- ✿ What is the probability that a student in this room has the same birthday as Yongdae?
  - ▶  $1/365$ . Why?
- ✿ What is the minimum value of  $k$  such that the probability is greater than 0.5 that at least 2 students in a group of  $k$  people have the same birthday?
  - ▶  $1 (1 - 1/n)(1 - 2/n) \dots (1 - (k-1)/n)$   
 $\leq e^{-1/n} e^{-2/n} \dots e^{-(k-1)/n} \quad \Leftarrow 1 + x \leq e^x \text{ Taylor series}$   
 $= e^{-\sum i/n} = e^{-k(k-1)/2n}$   
 $\leq 1/2$ 
    - ▶  $-k(k-1)/2n \leq \ln(1/2) \Rightarrow k \geq (1 + (1 + (8 \ln 2) n)^{1/2}) / 2$
    - ▶ For  $n = 365$ ,  $k \geq 23$

# Birthday Paradox (II)

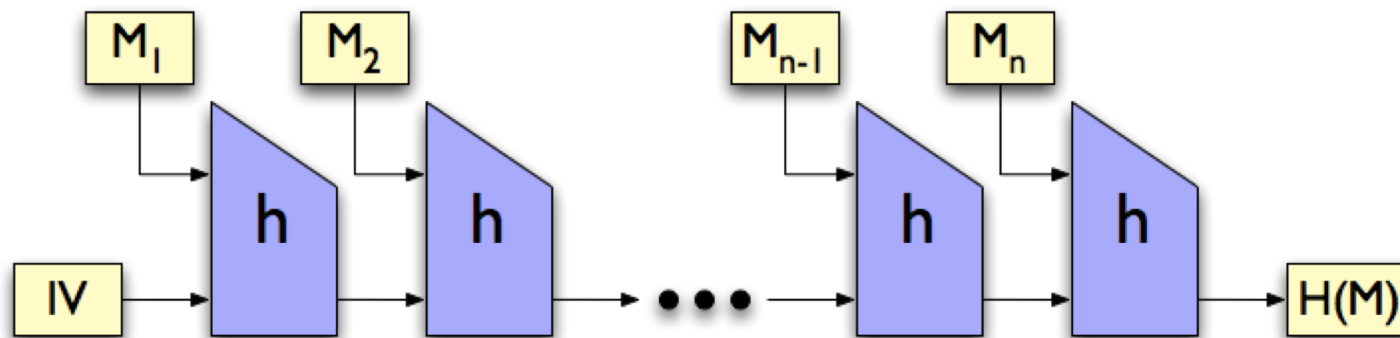


## \* Relation to Hash Function?

- ▶ When  $n$ -bit hash function has uniformly random output
- ▶ One-wayness:  $\Pr[y = h(x)]$  ?
- ▶ Weak collision resistance:  $\Pr[h(x) = h(x') \text{ for given } x]$  ?
- ▶ Collision resistance:  $\Pr[h(x) = h(x')]$  ?

# Merkle-Damgård scheme

- ✿ The most popular and straightforward method for combining compression functions



# Merkle-Damgård scheme

\*  $h(s, x)$ : the compression function

▶  $s$ : 'state' variable in  $\{0,1\}^n$

▶  $x$ : 'message block' variable in  $\{0,1\}^m$

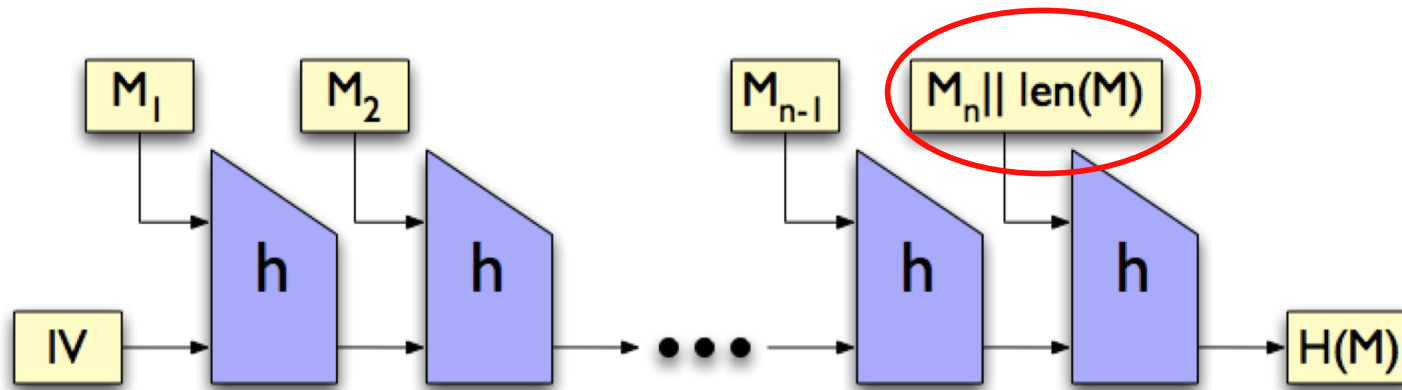
\*  $s_0 = IV, s_i = h(s_{i-1}, x_i)$

\*  $H(x_1 || x_2 || \dots || x_n) = h(h(\dots h(IV, x_1), x_2) \dots, x_n) = S_n$

# Merkle-Damgård strengthening

- ✿ In the previous version, messages should be of length divisible by  $m$ , the block size
  - ▶ a padding scheme is needed:  $x||p$  for some string  $p$  so that  $m \mid \text{len}(x||p)$
- ✿ Merkle-Damgård strengthening:
  - ▶ encode the message length  $\text{len}(x)$  into the padding string  $p$

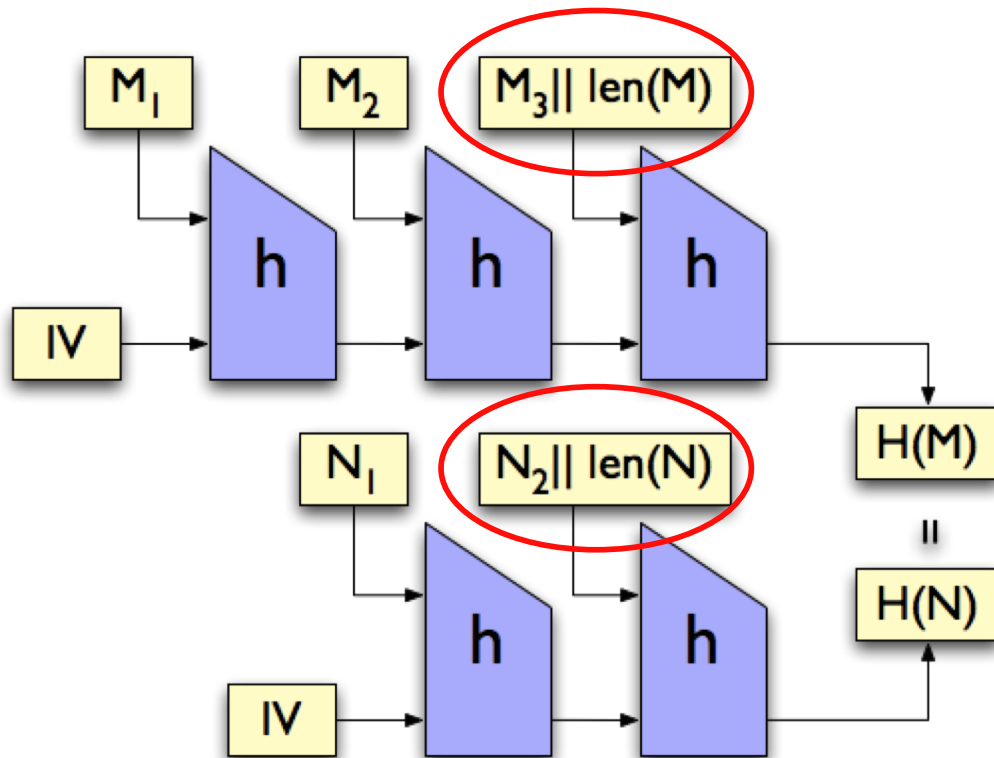
# Strengthened Merkle-Damgård



# Collision resistance

- \* If the compression function is collision resistant, then strengthened Merkle-Damgård hash function is also collision resistant
- \* Collision of compression function:  
 $f(s, x) = f(s', x')$  but  $(s, x) \neq (s', x')$

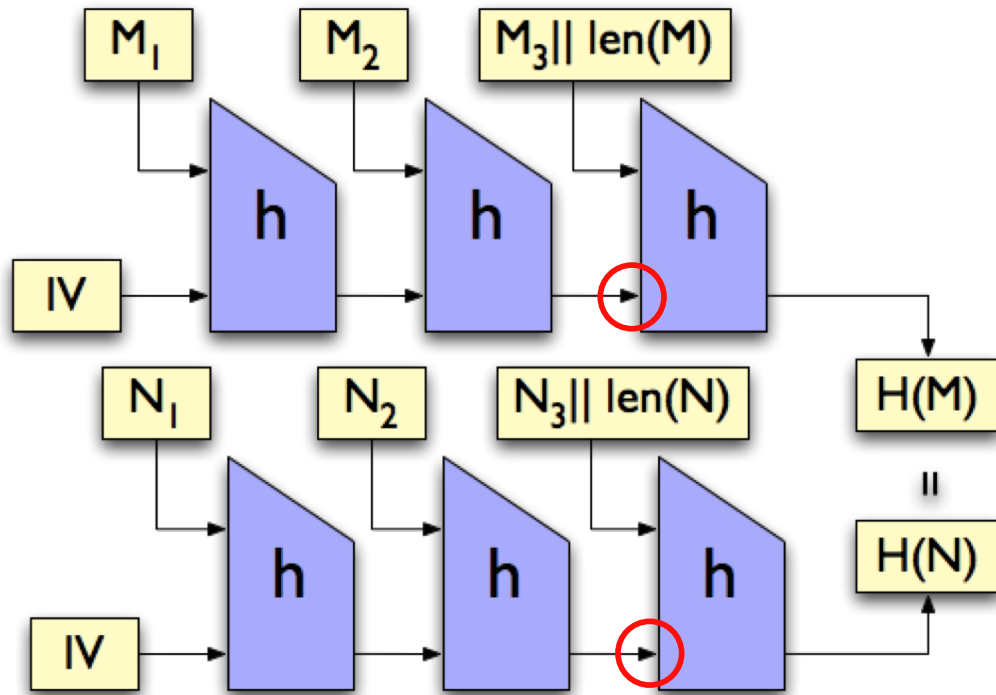
# Collision resistance



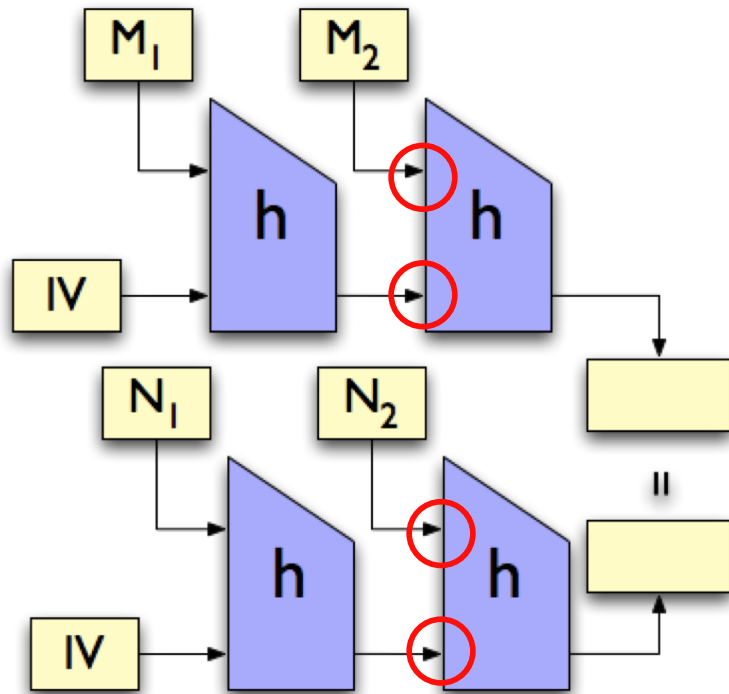
- ✿ If  $h(\cdot)$  is collision resistant, and if  $H(M) = H(N)$ , then  $\text{len}(M)$  should be  $\text{len}(N)$ , and the last blocks should coincide



# Collision resistance

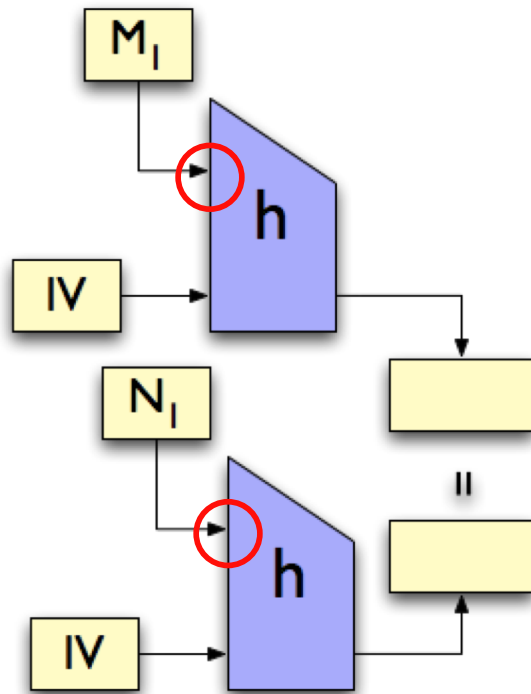


# Collision resistance



\* And the penultimate blocks should agree, and,

# Collision resistance



- \* And the ones before the penultimate, too...
- \* So in fact  $M=N$

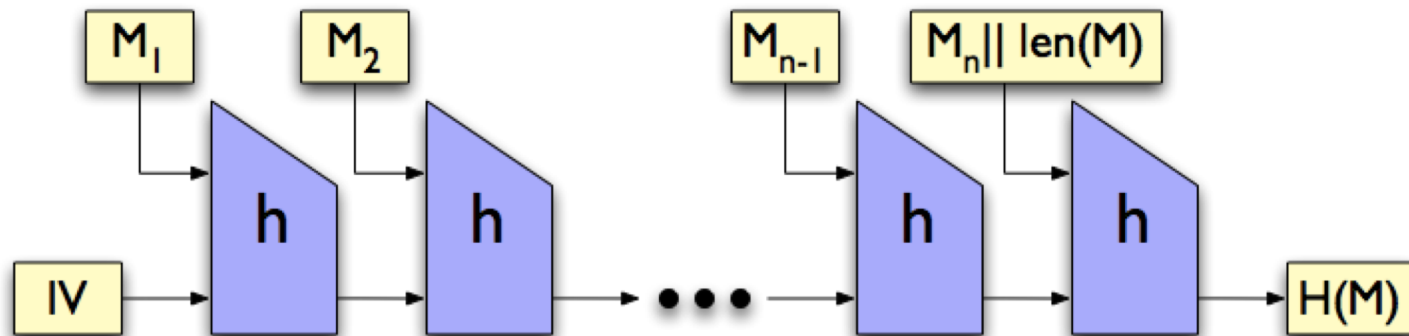
# Extension property

- ✿ For a Merkle-Damgård hash function,  
 $H(x, y) = h(H(x), y)$ 
  - ▶ Even if you don't know  $x$ , if you know  $H(x)$ , you can compute  $H(x, y)$
  - ▶  $H(x, y)$  and  $H(x)$  are *related* by the formula
  - ▶ Would this be possible if  $H()$  was a random function?

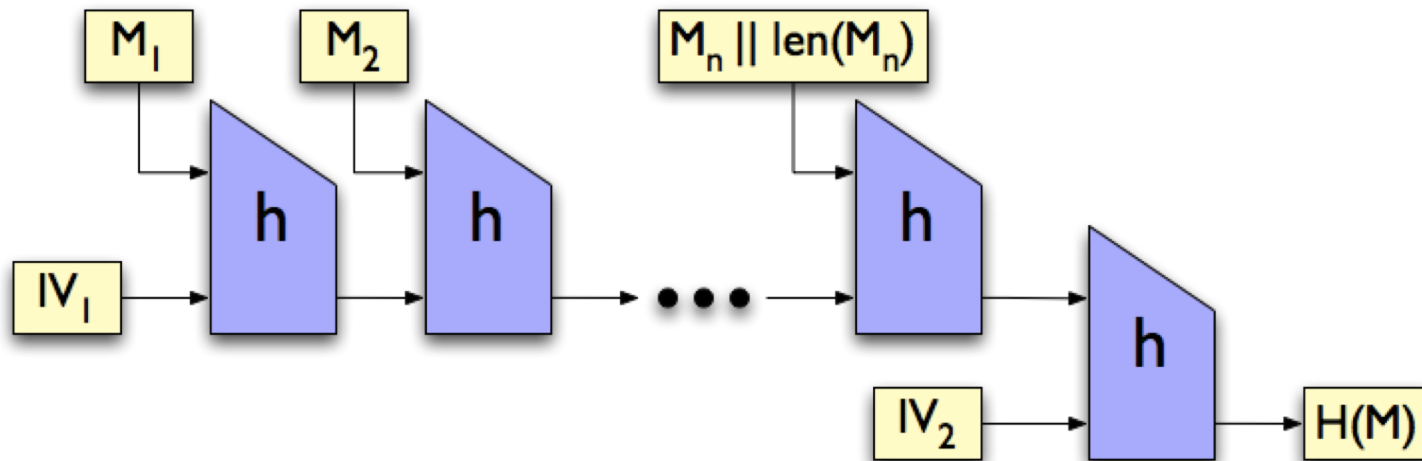
# Fixing Merkle-Damgård

- ✿ Merkle-Damgård: historically important, still relevant, but likely will not be used in the future (like in SHA-3)
- ✿ Clearly distinguishable from a random oracle
- ✿ How to fix it? Simple: do something completely different in the end

# SMD

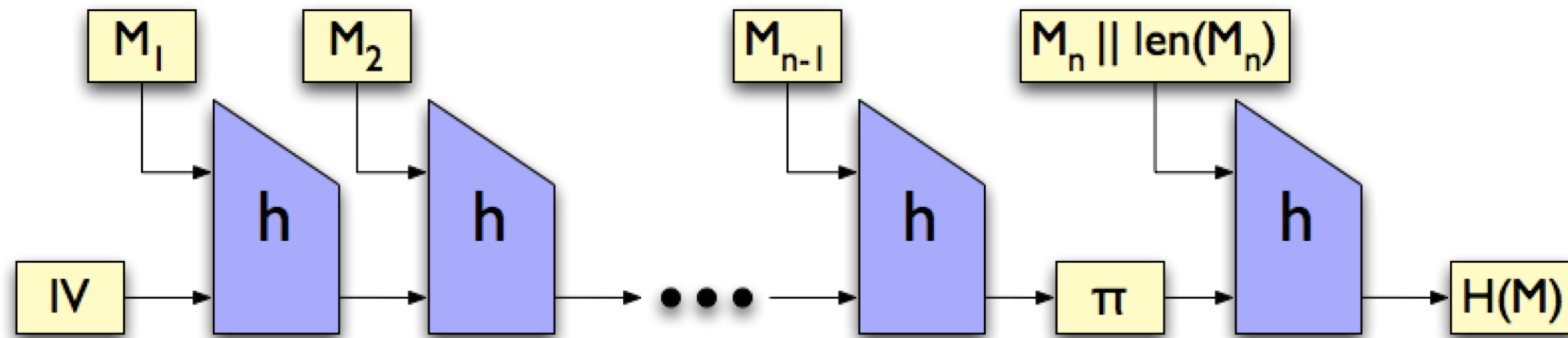


# EMD



✿  $IV_1 \neq IV_2$

# MDP



✿  $\pi$ : a permutation with few fixed points

▶ For example,  $\pi(x) = x \oplus C$  for some  $C \neq 0$



# MAC & AE

# Two easy attacks

- ✿ Exhaustive key search
  - ▶ Given one pair  $(x, M)$ , try different keys until  $M = H_k(x)$
  - ▶ Lesson: key size should be large enough
- ✿ Pure guessing: try many different  $M$  with a fixed message  $x$ 
  - ▶ Lesson: MAC length should be also large
- ✿ Question: which one is more serious?

# Practical constructions

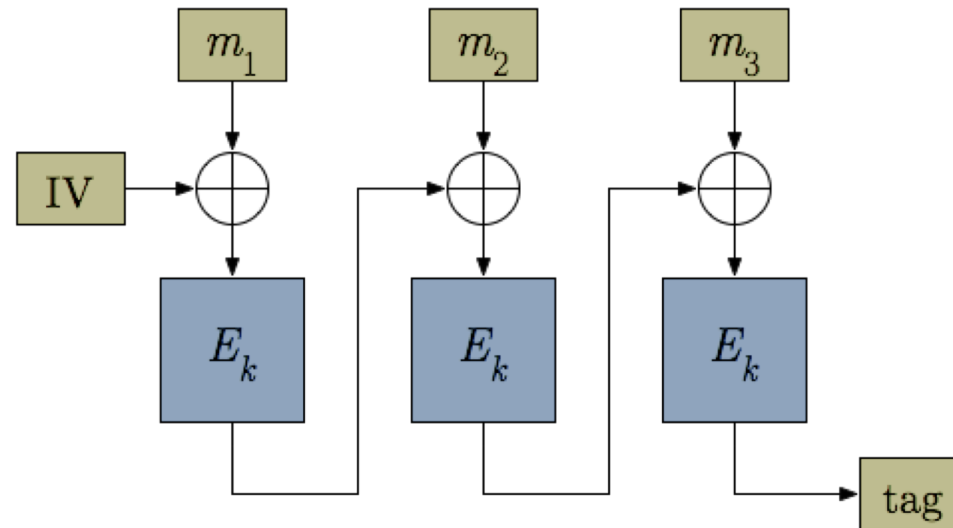
## \* Blockcipher based MACs

- ▶ CBC-MAC
- ▶ CMAC

## \* Hash function based MACs

- ▶ secret prefix, secret suffix, envelop
- ▶ HMAC

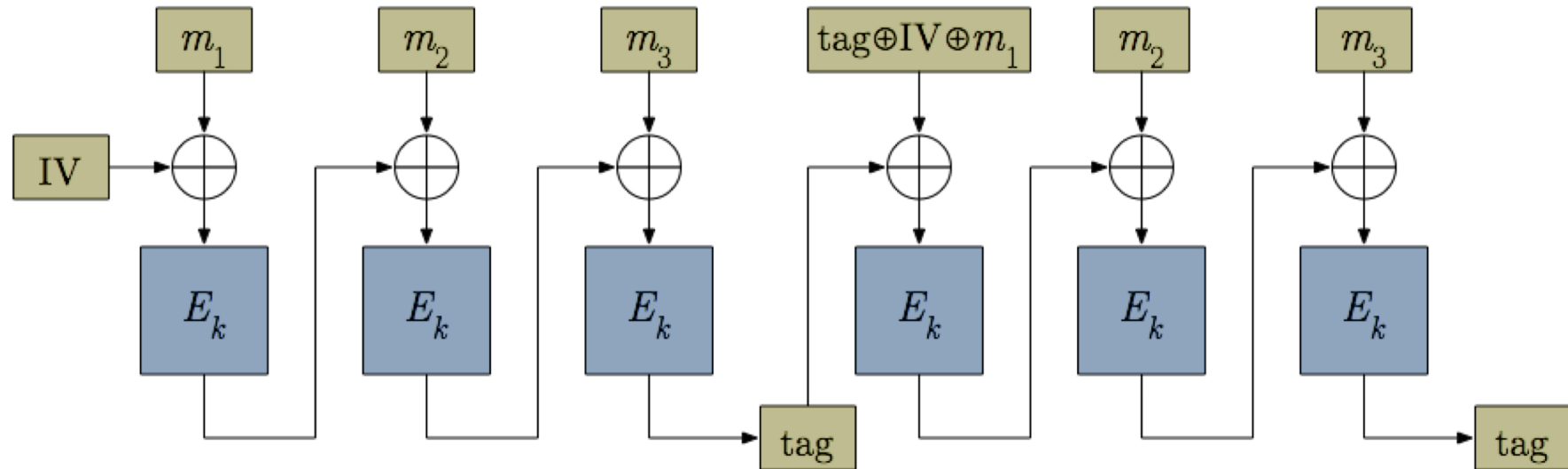
# CBC-MAC



- ✿ CBC, with some fixed IV. Last 'ciphertext' is the MAC
- ✿ Block ciphers are already PRFs. CBC-MAC is just a way to combine them
- ✿ Secure as PRF, if message length is fixed



# CBC-MAC

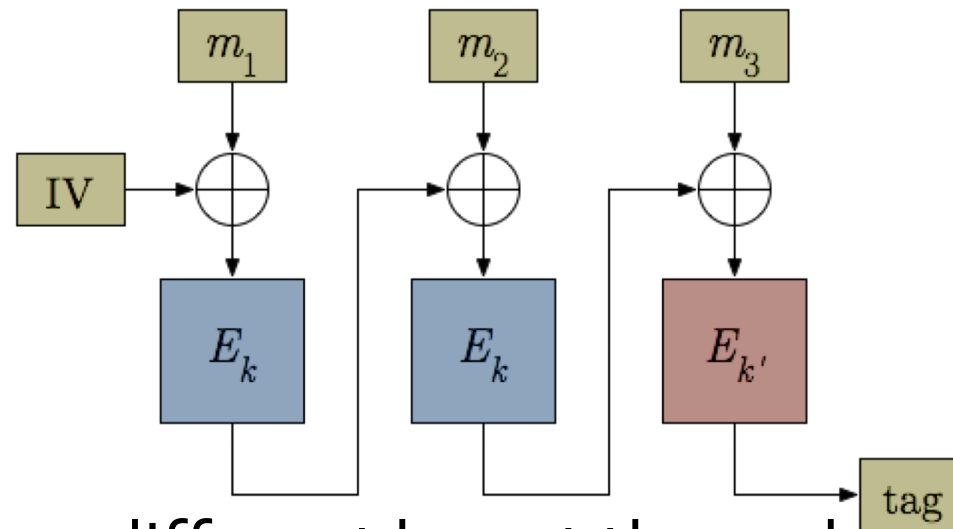


\* 'Extension property' once more!

\* How to fix it?

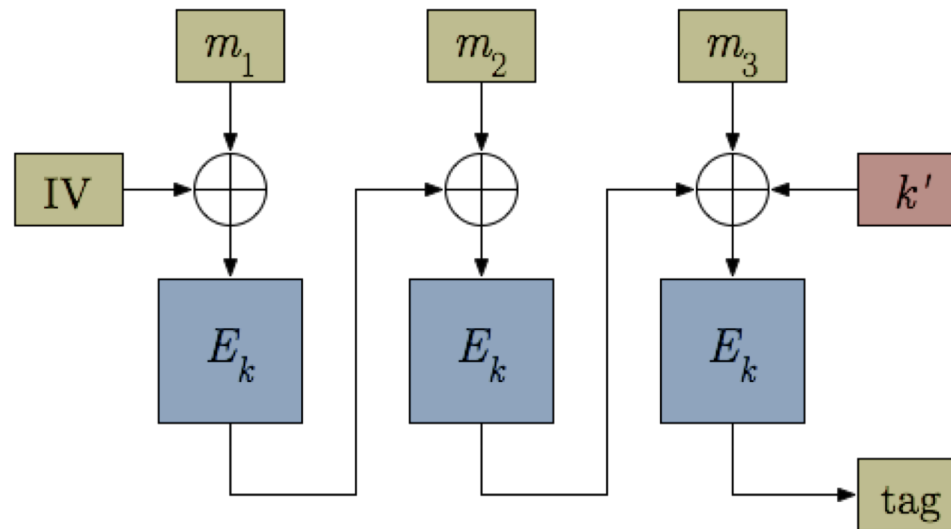
- ▶ Again, do something different at the end to break the chain

# Modification 1



- ▶ Use a different key at the end
- ▶ Good: this solves the problem
- ▶ Bad: switching block cipher key is bad

# Modification 2



- ▶ XORing a different key at the input is indistinguishable from switching the block cipher key



# CMAC

- ✿ NIST standard (2005)
- ✿ Solves two shortcomings of CBC-MAC
  - ▶ variable length support
  - ▶ message length doesn't have to be multiple of the blockcipher size

# Some Hash-based MACs

- ✿ Secret prefix method:  $H_k(x) = H(k, x)$
- ✿ Secret suffix method:  $H_k(x) = H(x, k)$
- ✿ Envelope method with padding:  
 $H_k(x) = H(k, p, x, k)$

# Secret prefix method

\* Secret prefix method:  $H_k(x) = H(k, x)$

- ▶ Secure if  $H$  is a random function
- ▶ Insecure if  $H$  is a Merkle-Damgård hash function

⊗  $H_k(x, y) = h(H(k, x), y) = h(H_k(x), y)$

# Secret suffix method

\* Secret suffix method:  $H_k(x) = H(x, k)$

- ▶ Much securer than secret prefix, even if H is Merkle-Damgård
- ▶ An attack of complexity  $2^{n/2}$  exists:
  - ⌘ Assume that H is Merkle-Damgård
  - ⌘ Find hash collision  $H(x) = H(y)$
  - ⌘  $H_k(x) = h(H(x), k) = h(H(y), k) = H_k(y)$
  - ⌘ off-line!

# Envelope method

\* Envelope method with padding:

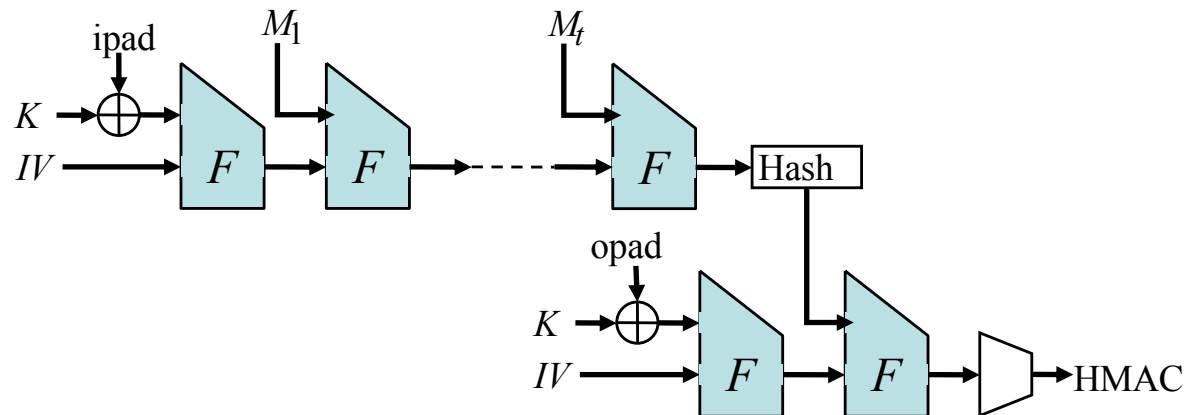
$$H_k(x) = H(k, p, x, k)$$

▶ For some padding  $p$  to make  $k||p$  at least one block

\* Prevents both attacks

# HMAC

- ❁ NIST standard (2002)
- ❁  $\text{HMAC}_k(x) = H(K \oplus \text{opad} \parallel H(K \oplus \text{ipad} \parallel x))$
- ❁ Proven secure as PRF, if the compression function  $h$  of  $H$  satisfies some properties



# Encryption and Authentication

✿  $E_K(M)$

✿ Redundancy-then-Encrypt:  $E_K(M, R(M))$

✿ Hash-then-Encrypt:  $E_K(M, h(M))$

✿ Hash and Encrypt:  $E_K(M), h(M)$

✿ MAC and Encrypt:  $E_{h_1(K)}(M), \text{HMAC}_{h_2(K)}(M)$

✿ MAC-then-Encrypt:  $E_{h_1(K)}(M, \text{HMAC}_{h_2(K)}(M))$